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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/077,436	02/14/2002	Jean-Marc Verdiell	42390.P12299

Michael J. Mallie
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026



CONFIRMATION NO. 3470
FORMALITIES LETTER



OC000000007800258

Date Mailed: 04/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/11/2002 BARBARA1 00090087 10077436

01 FC:105 130.00 0P

*A copy of this notice **MUST** be returned with the reply.*

Seal

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

100% REC'D - 05/05/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jean-Marc Verdiell

Application No.: 10/077,436

Filed: February 14, 2002

For: An Optoelectronic Assembly



Examiner: Unknown

Art Unit: 2874

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION
(FILED UNDER 37. C.F.R. 1.53(b) (FILING DATE GRANTED))

Sir:

In response to the Notice of Missing Parts of Application (Filing Date Granted) mailed April 5, 2002, please find enclosed:

- 1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- 2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- 3) a copy of the Notice to File Missing Parts of Application;
- 4) Submission of Substitute Drawings for Figures 1-17, consisting of 17 sheets, in compliance with 37 CFR 1.84 (g); and
- 5) a Fee Transmittal (in duplicate).

If any additional fee is required, please charge Deposit Account No.

02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/28/02



Michael J. Mallie, Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on

Date: 5-28-02

Name: Melanie Lyons

Melanie Lyons

**COPY OF PAPERS
ORIGINALLY FILED**

PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#3 MP/1
T.C.

FEET TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 10/077,436

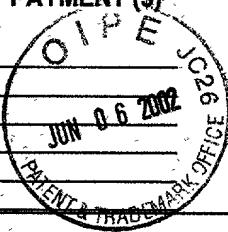
Filing Date February 14, 2002

First Named Inventor Jean-Marc Verdiell

Group Art Unit 2874

Examiner Name Unknown

Attorney Docket No. 42390.P12299



METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	
SUBTOTAL (1)			<u>\$ 0</u>

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>- 20** =</u>	X	=
Independent Claims	<u>- 3** =</u>	X	=
Multiple Dependent			

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
		9
		Claims in excess of 20
102	84	202
		42
		Independent claims in excess of 3
104	280	204
		140
		Multiple dependent claim, if not paid
109	84	209
		42
		**Reissue independent claims over original patent
110	18	210
		9
		**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		
<u>\$ 0</u>		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
Acceptance of unintentionally delayed claim for priority			

Other fee (specify) _____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

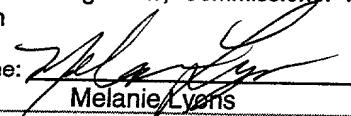
Signature:  Date: 5/28/02

Reg. Number: 36,591 Telephone Number: 408-720-8300

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Date: 5-28-02

Name: 
Melanie Lyons

#3

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 10/077,436Filing Date February 14, 2002First Named Inventor Jean-Marc VerdiellGroup Art Unit 2874Examiner Name UnknownAttorney Docket No. 42390.P12299

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

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2. Payment Enclosed: Check
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 Other

FEE CALCULATION

1. BASIC FILING FEELarge Entity Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
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114	160	214	80

Fee Description			
Utility application filing fee			
Design application filing fee			
Plant filing fee			
Reissue filing fee			
Provisional application filing fee			

Fee Paid

SUBTOTAL (1) \$ 0

2. EXTRA CLAIM FEES

Extra Claims

Fee from

below

Fee Paid

Total Claims	_____	- 20** =	_____	X	_____	=
Independent Claims	_____	- 3** =	_____	X	_____	=
Multiple Dependent	_____		_____	_____	_____	=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
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104	280	204	140
109	84	209	42
110	18	210	9

Fee Description

Claims in excess of 20	
Independent claims in excess of 3	
Multiple dependent claim, if not paid	
**Reissue independent claims over original patent	
**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee		
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
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112	920*	112	920*
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148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
		Acceptance of unintentionally delayed claim for priority	

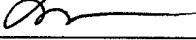
Other fee (specify) _____

SUBTOTAL (3) \$ 130.00

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